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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/694,383	10/27/2003	Ekambar R. Kandimalla	HYB-005US4	5766
99488 7590 03/01/2011 Furman Gregory Deptula			EXAMINER	
215 Main Street			HORNING, MICHELLE 8	
Suite 101 Biddeford, ME 04005			ART UNIT	PAPER NUMBER
,			1648	
			MAIL DATE	DELIVERY MODE
			03/01/2011	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

# Office Action Summary

Applicant(s)		
KANDIMALLA ET AL.		
Art Unit		
1648		

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address -- Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS,

- WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.
- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
   Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any
- earned patent term adjustment. See 37 CFR 1.704(b).

Status	١,	Status	
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- 1) Responsive to communication(s) filed on 13 December 2010.
- 2a) This action is **FINAL**. 2b) This action is non-final.
  - 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Exparte Quayle, 1935 C.D. 11, 453 O.G. 213.

#### **Disposition of Claims**

- 4) Claim(s) 12 and 14 is/are pending in the application.
  - 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☐ Claim(s) 12 and 14 is/are rejected.
- 7) Claim(s) 12 is/are objected to.
- 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

# Application Papers

- 9) The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.

Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - a) ☐ All b) ☐ Some \* c) ☐ None of:
    - Certified copies of the priority documents have been received.
    - Certified copies of the priority documents have been received in Application No.
    - 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
  - \* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- 1) Notice of References Cited (PTO-892)
- 2) Notice of Draftsporson's Fatent Drawing Review (FTC-942).
- Information Disclosure Statement(s) (PTO/SB/08)
  - Paper No(s)/Mail Date \_\_\_\_\_.
- 6) Other: \_

4) Interview Summary (PTO-413)

Paper No(s / Mail Date.

5) Notice of Informal Patent Application

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#### DETAILED ACTION

This action is responsive to communication filed 12/12/2010.

Claims 12 and 14 are under current examination.

Any rejection(s) and/or objection(s) not reiterated herein have been withdrawn.

To allow entry of the rejections below, this action is non-final.

# Claim Objections-MAINTAINED

Claim 12 is objected to because of the following informalities: the following phrase is improper, "each X independently is independently selected from"; see line 9.

Appropriate correction is required.

## Double Patenting-MAINTAINED

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., In re Berg, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); In re Goodman, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); In re Longi, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); In re Van Ornum, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); In re Vogel, 422

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F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Claims 12 and 14 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claim 18 of copending Application No. 10/865245. Although the conflicting claims are not identical, they are not patentably distinct from each other because both sets of claims are directed to an immunostimulatory oligonucleotide comprising a CpG as well as linkers. Note that both sets of claims are broad in scope in that they overlap in common structures.

This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

Claims 12 and 14 are rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claim 1 of U.S. Patent No. **7824696**. Although the conflicting claims are not identical, they are not patentably distinct from each other because both sets of claims are directed to an immunostimulatory

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oligonucleotide comprising a CpG or a 5'hydroxycytosine and a 2'deoxyguanosine and other non-naturally occurring nucleosides, including a C3-alkyl linker.

### Response to Arguments

Applicant's arguments filed 12/13/2010 have been fully considered but they are not persuasive. Note that the rejection based on patent 7262286 has been withdrawn. However, the claims of patent 7824696 (US application 10/694418) are not patently distinct from the instant invention for reasons set forth above. Regarding the rejection based on US application 10/865, 245, this rejection is also maintained because not all of the presently maintained rejections have been overcome. Thus, the rejections are maintained.

#### Double Patenting-MAINTAINED

Claims 12 and 14 are rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-4 of U.S. Patent No. **7262286** in view of Zhao et al. (Bioorg Med Chem Lett. 2000-IDS). Both sets of claims are drawn to an immunostimulatory oligonucleotide containing a CpG formula and in which the C is an analog, including a 4-thiouracil. The '286 patent does not disclose using a 2'-5' linkage as found in the instant claims. However, Zhao et al. teaches using a method for modulating immunostimulatory activity of a CpG-containing sequence via inserting a 2'-5'-linkage. It would have been obvious to modify the sequences taught by the '286 patent as taught by Zhao et al.

#### Conclusion

No claim is allowed at this time.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to MICHELLE HORNING whose telephone number is (571)272-9036. The examiner can normally be reached on Monday-Friday 8:00-5:00 FST

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, ZACHARIAH LUCAS can be reached on 571-272-0905. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/M. H./ Examiner, Art Unit 1648

/Zachariah Lucas/ Supervisory Patent Examiner, Art Unit 1648